

Substitute for form 1449A/PTO

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use as many sheets as necessary)



Sheet 1 of 1

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Complete if Known

Application Number	10/018,237
Filing Date	June 24, 2002
First Named Inventor	Hogl, Christian
Group Art Unit	2661
Examiner Name	Sough, Hyung

Attorney Docket No: 2043.184US1

## **US PATENT DOCUMENTS**

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date If Appropriate
<i>Sm</i>	US-5,778,178	07/07/1998	Arunachalum, Lakshmi	08/05/1996
<i>↓</i>	US-5,987,500	11/16/1999	Arunachalam, Lakshmi	06/20/1997
<i>↓</i>	US-6,212,556	04/03/2001	Arunachalam, Lakshmi	04/21/1999

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials *	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	T <sup>2</sup>
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## **OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
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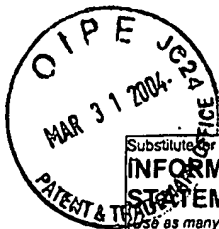
EXAMINER

DATE CONSIDERED

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Substitute Disclosure Statement Form (PTO-1449)

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 600. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional) <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached



Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)	Complete if Known	
	Application Number	10/018237
	Filing Date	June 9, 2000
	First Named Inventor	Hogl, Christian
	Group Art Unit	2661
	Examiner Name	Sough, Hyung
Sheet 1 of 1		Attorney Docket No: 3801P184

US PATENT DOCUMENTS						
Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate

FOREIGN PATENT DOCUMENTS						
Examiner Initials *	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T <sup>2</sup>
ShA	DE-19926472	11/15/2001	Hogl, Christian, et al.			
	EP-0216521	11/03/1993	Hodgson, Thomas F., et al.			
	EP-0400911	11/03/1993	Hodgson, Thomas F., et al.			
	EP-0519843	12/23/1992	Benton, William M., et al.			
	EP-0527639	02/17/1993	Gorog, William F.			
	EP-0848360	06/17/1998	Rossmann, Alain			
	WO-0205224	01/17/2002	Templeton, James, et al.			
	WO-9506294	03/02/1995	Norris, Jeffrey A.			
	WO-9516971	06/22/1995	Gifford, David K.			
	WO-9923622	05/14/1999	Chihara, Mitsuo, et al.			

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
ShA		"International Search Report", PCT/US01/21725, (8/29/02),	

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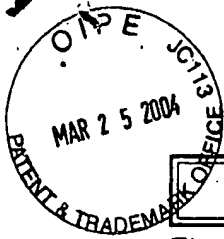
APR 01 2004

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DATE CONSIDERED

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## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

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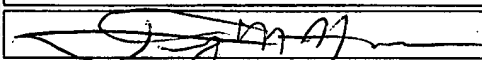
Title of Invention	METHOD FOR TRANSMITTING A CODE																																																																																																																																						
<p>Application Number: 10/018237</p> <p>Confirmation Number: 8864</p> <p>First Named Applicant: Christian Hogl</p> <p>Attorney Docket Number: 3801P184</p> <p>Art Unit: 2661</p> <p>Examiner: Hyung Sough</p> <p>Search string: ( 3823308 or 3852571 or 3896266 or 4471216 or 5053606 or 5416306 or 5532464 or 5679938 or 5750972 or 5770843 or 5793028 or 5907801 or 5907832 or 5963917 or 6497359 ).pn.</p> <p><b>RECEIVED</b> MAR 31 2004 GROUP 3600</p> <p><b>US Patent Documents</b></p> <p>Note: Applicant is not required to submit a paper copy of cited US Patent Documents</p> <table border="1"><thead><tr><th>init</th><th>Cite.No.</th><th>Patent No.</th><th>Date</th><th>Patentee</th><th>Kind</th><th>Class</th><th>Subclass</th></tr></thead><tbody><tr><td><input checked="" type="checkbox"/></td><td>1</td><td>3823308</td><td>1974-07-09</td><td>Goldberg, Kenneth M.</td><td></td><td></td><td></td></tr><tr><td><input type="checkbox"/></td><td>2</td><td>3852571</td><td>1974-12-03</td><td>Hall, Bruce W., et al.</td><td></td><td></td><td></td></tr><tr><td><input type="checkbox"/></td><td>3</td><td>3896266</td><td>1975-07-22</td><td>Waterbury, Nelson J.</td><td></td><td></td><td></td></tr><tr><td><input type="checkbox"/></td><td>4</td><td>4471216</td><td>1984-09-11</td><td>Herve, Robert J.</td><td></td><td></td><td></td></tr><tr><td><input type="checkbox"/></td><td>5</td><td>5053606</td><td>1991-10-01</td><td>Kimizu, Ryuichi</td><td></td><td></td><td></td></tr><tr><td><input type="checkbox"/></td><td>6</td><td>5416306</td><td>1995-05-16</td><td>Imahata, Takeo</td><td></td><td></td><td></td></tr><tr><td><input type="checkbox"/></td><td>7</td><td>5532464</td><td>1996-07-02</td><td>Josephson, Stanley M., et al.</td><td></td><td></td><td></td></tr><tr><td><input type="checkbox"/></td><td>8</td><td>5679938</td><td>1997-10-21</td><td>Templeton, Randy J., et al.</td><td></td><td></td><td></td></tr><tr><td><input type="checkbox"/></td><td>9</td><td>5750972</td><td>1998-05-12</td><td>Botvin, Arthur D.</td><td></td><td></td><td></td></tr><tr><td><input type="checkbox"/></td><td>10</td><td>5770843</td><td>1998-06-23</td><td>Rose, Manning I., et al.</td><td></td><td></td><td></td></tr><tr><td><input type="checkbox"/></td><td>11</td><td>5793028</td><td>1998-08-11</td><td>Wagener, Carl S., et al.</td><td></td><td></td><td></td></tr><tr><td><input type="checkbox"/></td><td>12</td><td>5907801</td><td>1999-05-25</td><td>Albert, Herb H., et al.</td><td></td><td></td><td></td></tr><tr><td><input type="checkbox"/></td><td>13</td><td>5907832</td><td>1999-05-25</td><td>Pieterse, Rob , et al.</td><td></td><td></td><td></td></tr><tr><td><input type="checkbox"/></td><td>14</td><td>5963917</td><td>1999-10-05</td><td>Ogram, Mark E.</td><td></td><td></td><td></td></tr><tr><td><input checked="" type="checkbox"/></td><td>15</td><td>6497359</td><td>2002-12-24</td><td>Chihara, Mitsuo</td><td></td><td></td><td></td></tr></tbody></table>								init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass	<input checked="" type="checkbox"/>	1	3823308	1974-07-09	Goldberg, Kenneth M.				<input type="checkbox"/>	2	3852571	1974-12-03	Hall, Bruce W., et al.				<input type="checkbox"/>	3	3896266	1975-07-22	Waterbury, Nelson J.				<input type="checkbox"/>	4	4471216	1984-09-11	Herve, Robert J.				<input type="checkbox"/>	5	5053606	1991-10-01	Kimizu, Ryuichi				<input type="checkbox"/>	6	5416306	1995-05-16	Imahata, Takeo				<input type="checkbox"/>	7	5532464	1996-07-02	Josephson, Stanley M., et al.				<input type="checkbox"/>	8	5679938	1997-10-21	Templeton, Randy J., et al.				<input type="checkbox"/>	9	5750972	1998-05-12	Botvin, Arthur D.				<input type="checkbox"/>	10	5770843	1998-06-23	Rose, Manning I., et al.				<input type="checkbox"/>	11	5793028	1998-08-11	Wagener, Carl S., et al.				<input type="checkbox"/>	12	5907801	1999-05-25	Albert, Herb H., et al.				<input type="checkbox"/>	13	5907832	1999-05-25	Pieterse, Rob , et al.				<input type="checkbox"/>	14	5963917	1999-10-05	Ogram, Mark E.				<input checked="" type="checkbox"/>	15	6497359	2002-12-24	Chihara, Mitsuo			
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## Remarks

Note: Remarks are not for responding to an office action.

Applicants, in accordance with their duty of disclosure under 37 CFR 1.56 and in accordance with 37 CFR 1.97(b)(3), hereby submit this Electronic Information Disclosure Statement citing U.S. Patent Documents for consideration by the Examiner. Pursuant to 37 CFR 1.97, the submission of this Electronic Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability. This Electronic Information Disclosure Statement is being filed prior to a substantive examination of the claims. Pursuant to 37 CFR 1.97(b), no fee should be required for the filing of this Electronic Information Disclosure Statement. In the event it is determined that a fee is due, please charge the fee to Deposit Account 02-2666. Applicants respectfully request that the cited documents be considered and that the form be initialed by the Examiner to indicate such consideration and a copy thereof be returned to Applicants' attorney of record.

## Signature

Examiner Name	Date
	07/17/06

Form PTO-1449  
Attorney Docket: RK7454PCT(US)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE CITATION

In re application of: Christian Hohl et al.

Serial No: 10/018,237

Group No.: Unknown

Filed: December 7, 2001

Examiner: Unknown

For: METHOD FOR TRANSMITTING A CODE

Assistant Commissioner for Patents  
Washington, DC 20231

U.S. Patent Documents

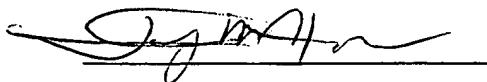
Examiner Initials	Document Number	Date	Name	Class
<u>SMH</u>	5,870,456	02/09/1999	Rogers	379/91.01
<u>↓</u>	5,883,810	03/16/1999	Franklin et al.	364/479.02

Foreign Patent Documents

Examiner Initials	Document Number	Country	Class	Translation (Yes/No)
<u>SMH</u>	WO 99/08242	WIPO	G07F 17/16	Yes
<u>↓</u>	DE 196 34 418 A1	Germany	G06F17/60	Yes (Abstract)
<u>↓</u>	DE 196 28 045 A1	Germany	G06F17/60	Yes (Abstract)
<u>↓</u>	DE 44 25 271 A1	Germany	G07F19/00	Yes (Abstract)

Examiner:

Date Considered:



7/17/06

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